

BODEN STATE Application No. 10/663,247 TRANSMITTAL FORM Filing Date September 15, 2003 (to be used for all correspondence after initial filing) First Named Inventor Derrick Lin Art Unit 2186 **Examiner Name** Unassigned Total Number of Pages in This Submission Attorney Docket Number 42P10543C **ENCLOSURES** (check all that apply) After Allowance Communication to Group Fee Transmittal Form Drawing(s) Appeal Communication to Board of Appeals and Interferences Fee Attached Licensing-related Papers Appeal Communication to Group Petition Amendment / Response (Appeal Notice, Brief, Reply Brief) After Final Petition to Convert a Provisional Application **Proprietary Information** Affidavits/declaration(s) Power of Attorney, Revocation Change of Correspondence Address Status Letter Extension of Time Request Other Enclosure(s) Terminal Disclaimer (please identify below): **Express Abandonment Request** Request for Refund Information Disclosure Statement PTO/SB/08 CD, Number of CD(s) Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Remarks Basic Filing Fee Declaration/POA Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm William E. Alford, Reg. No. 37,764 Individual name AKELYI SOKOTOFF, 家OP& ZAFMAN LLP TA Signature January 9, 2004 Date CERTIFICATE OF MAILING/TRANSMISSION I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Typed or printed name Susan McFarlane Signature Date January 9, 2004

FEE TRANSMITTAL for FY 2003 Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 0.00

*	Complete if Known	
Application Number	10/663,247	
Filing Date	September 15, 2003	
First Named Inventor	Derrick Lin	
Examiner Name	Unassigned	
Group/Art Unit	2186	
Attorney Docket No.	42P10543C	

01/09/04

Date

METHOD OF PAYMENT (check a	ll that apply)				FE	E CALCULATION (continued)	
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☐ Check ☐ Credit card ☐ Money ☐ Order ☐ Order			Entity	Sma	II Entity	,	
Seposit Account		Fee	Fee	Fee	Fee	_	
Deposit Account 02-2666		Code	(\$)	Code	(\$)	Fee Description	Fee Paid
Number 02-2000		1051	130	2051	65	Surcharge - late filing fee or oath	
Deposit Account Dlakely Sokoloff Taylor &	Zofman IID	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Account Name Blakely, Sokoloff, Taylor &	Zaiman LLP	2053	130	2053	130	Non-English specification	
The Commissioner is authorized to: (check all that apply)	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge fee(s) indicated below Credit a	any overpayments	1804	920*	1804	920	* Requesting publication of SIR prior to Examiner action	
Charge any additional fee(s) required under 37 CFR §§	1.16, 1.17, 1.18 and 1.20.	1805	1,840*	1805	1,840 '	Requesting publication of SIR after	
Charge fee(s) indicated below, except for the filling fe	9					Examiner action	
to the above-identified deposit account		1251	110	2251	55	Extension for reply within first month	
FEE CALCULATION		1252	420	2252	210	Extension for reply within second month	
1. BASIC FILING FEE		1253	950	2253	475	Extension for reply within third month	
Large Entity Small Entity Fee Fee Fee Fee Fee Description	Foo Deid	1254	1,480	2254	740	Extension for reply within fourth month	
Code (\$) Code (\$)	Fee Paid	1255	1,210	2255	605	Extension for reply within fifth month	
1001 770 2001 385 Utility filing fee		1404	330	2401	165	Notice of Appeal	
1002 340 2002 170 Design filing fee		1402	330	2402	165	Filing a brief in support of an appeal	
1003 530 2003 265 Plant filing fee		1403	290	2403	145	Request for oral hearing	
1004 770 2004 385 Reissue filing fee		1451	1,510 110	2451	1,510 55	Petition to institute a public use proceeding Petition to revive - unavoidable	
1005 160 2005 80 Provisional filing fee		1452 1453	1,330	2452 2453	665	Petition to revive - unintentional	
SUBTOTAL (1)	(\$)	1501	1,330	2501	665	Utility issue fee (or reissue)	
2. EXTRA CLAIM FEES Extra		1502	480	2502	240	Design issue fee	
	se from selow Fee Paid	1503	640	2503	320	Plant issue fee	
Total Claims 11 _ 20* = 0 x	18.00 = \$0.00	1460	130	2460	130	Petitions to the Commissioner	
Independent 3 · 3* = 0 x	86.00 = \$0.00	1807	50	1807	50	Prosessing fee under 37 CFR 1.17(q)	
Multiple Dependent	=	1806	180	1806	180	Submission of Information Disclosure Stmt	
Large Entity Small Entity		8021	40	8021	40	Recording each patent assignment per	
Fee Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$)	•					property (times number of properties)	
	n	1809	770	1809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	ì
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in		1810	770	2810	385	For each additional invention to be	
1203 290 2203 145 Multiple Dependent cl						examined (37 CFR § 1.129(b))	
1204 86 2204 43 **Reissue independen	t claims over original	1801	770	2801	385	Request for Continued Examination (RCE)	
patent		1802	900	1802	900	Request for expedited examination of a design application	
1205 18 2205 9 **Reissue claims in ex original patent	cess of 20 and over	Other fee	e (specify)				
SUBTOTAL (2)	(\$) 0.00						
**or number previously paid, if greater, For Reiss		*Reduced	by Basic Film	g Fee Pai	d	SUBTOTAL (3) (\$)	
SUBMITTED BY						Complete (if applicabl	
		, Re	gistratio	n No.	T	4	
Name (Ргіпі/Туре) William E, Alfordi		/ (At	tomey/Age		3	37,764 Telephone (714) 557-	2000

Signature

Docket No.: 42P10543C

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JAN 1 2 2004

In Re the Application of:

DERRICK LIN

Application No.: 10/663,247

Filed: September 15, 2003

For: Register Stack Engine Having Speculative

Load/Store Modes

Art Group: 2186

Examiner: Unassigned

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Some or all of the references listed on the enclosed PTO/SB/08 were previously identified in the parent application (Application No. 09/561,145, filed April 28, 2000) and copies of the references were furnished at that time. Accordingly, per 37 CFR §1.98(d)(1) additional copies of those references are not submitted herewith.

-1- 42P10543C

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: January 09, 2004

William E. Alford, Reg. No. 37,764

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025 Telephone: (714) 557-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Susan McFarlane

Date



Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of 2 1

Complete if Known			
Application Number	10/663,247		
Filing Date	September 15, 2003		
First Named Inventor	Derrick Lin		
Art Unit	2186		
Examiner Name	Unassigned		
Attorney Docket Number	42P10543C		

	U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5,142,635	08-25-1992	Saini	
		US-5,420,991	05-30-1995	Konigsfeld, et al.	
		US-5,463,745	10-31-1995	Vidwans, et al.	
		US-5,479,633	12-26-1995	Wells, et al.	
		US-5,522,051	05-28-1996	Sharangpani	
		US-5,535,397	07-09-1996	Durante, et al.	
		US-5,574,935	11-12-1996	Vidwans, et al.	
		US-5,640,582	06-17-1997	Hays, et al.	
		US-5,680,640	10-21-1997	Ofek, et al.	
		US-5,751,996	05-12-1998	Glew, et al.	
		US-5,778,245	07-07-1998	Papworth, et al.	
		US-5,852,726	12-22-1998	Lin, et al.	
		US-5,867,602	02-02-1999	Zandi, et al.	
		US-5,976,525	10-26-1999	Lin, et al.	
		US-6,006,318	12-21-1999	Hansen, et al.	
		US-6,035,389	03-07-2000	Grochowski	
		US-6,065,114	05-16-2000	Zahir, et al.	
		US-6,202,204	03-13-2001	Wu, et al.	
		US-6,219,783	04-17-2001	Zahir, et al.	
		US-6,243,668	06-05-2001	Le, et al.	

	FOREIGN PATENT DOCUMENTS						
Examiner Cite		Cite Foreign Patent Document			Pages, Columns, Lines,		
Initials*	No.1	Country Code ³ - Number ⁴ - Kind Code ³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T⁵	
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Examiner	Date	
Signature	Considered	

*Examiner. Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

'Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁴Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁴Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wir) 08/11/2003.

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Sabstitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 **of** 2

Complete if Known				
Application Number	10/663,247			
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Attorney Docket Number	42P10543C			

	U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No.1	Document Number Number - Kind Code² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-6,314,513	11-06-2001	Ross, et al.	
		US-6,321,328	11-20-2001	Karp, et al.	
		US-6,367,005	04-02-2002	Zahir, et al.	
		US-6,453,388	09-17-2002	Gonzales, et al.	
		US-6,487,630	11-26-2002	Bui	
		US-2002/0056024	05-09-2002	Bui	
		US-			

	FOREIGN PATENT DOCUMENTS					
Examiner	aminer Cite Foreign Patent Document				Pages, Columns, Lines,	
Initials*	No.1	Country Code ³ - Number ⁴ - Kind Code ³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	Le
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Signature	Considered

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

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